Form for a change in the tied agent particulars notification concerning the termination of the operation of a
branch or the cessation of the use of a tied agent established in another Member State

(Articles 17(3) and 18(3) of Commission Implementing Regulation (EU) 2017/2382)

Reference number:

Date:

Notification in accordance with Article 35(10) of Directive 2014/65/EU regarding the termination of the operation of
a branch/the cessation of the use of a tied agent established in another Member State (1)

Part 1 — Contact Information

Type of notification: Termination of the operation of a branch/the use of a tied

agent

Member State in which the branch/tied agent is

established: …………………………………………………………………….

Name of the investment firm/credit institution: …………………………………………………………………….

Address of the investment firm/credit institution: …………………………………………………………………….

Telephone number of the investment firm/credit institution: …………………………………………………………………….

Email of the investment firm/credit institution: …………………………………………………………………….

Name of the contact person responsible for the termination

of the operations of the branch/tied agent: …………………………………………………………………….

Name of the branch/tied agent in the territory

of the host Member State: …………………………………………………………………….

Home Member State: Hungary

Home Member State competent authority: Central Bank of Hungary

Authorisation Status: Authorised by the Central Bank of Hungary

Authorisation Date: …………………………………………………………………….

Date from which the termination will be effective: …………………………………………………………………….

Description of the schedule for the planned termination:

Information on the process of winding down the business operations, including details regarding the manner in which client interests are going to be protected, complaints resolved and any outstanding liabilities discharged: